

EQUITY ASSET MANAGERS

FINTRX Use Cases & Value Drivers for Asset-Raising Professionals

Use-Cases

- Leverage comprehensive private wealth data to [identify potential long-only fund investors](#).
- Identify firms with expertise or interest in [sectors and industries](#) relevant to your fund strategy. [Filter by asset class interest, AUM, client types, account size](#) and more to identify ideal prospects for your fund.
- [Monitor the investment activities](#) of other equity asset managers or long-only fund managers in the market for your long-only strategy.
- Utilize the [keyword search functionality and other advanced filtering options](#) to identify firms involved in specific long-only deals.
- [Conduct due diligence](#) on family offices & RIAs by analyzing their historical performance, investment strategies and regulatory history.
- [Segment family offices & RIAs by AUM](#) and target entities with the appropriate client types & account sizes.
- [Stay ahead of the competition by monitoring the investment activities](#) and strategies of other equity asset managers or long-only fund managers in the market.
- [Integrate FINTRX data with existing workflows and systems](#) using the [API data feed](#) to enhance deal sourcing and monitoring processes.

Value-Drivers

- [Maximize value](#) by leveraging FINTRX insights for informed long-only capital-raising strategies, securing profitable deals with confidence.
- [Increase success](#) by narrowing down your search to investors specifically interested in traditional long-only investments.
- [Enhance ROI](#) by identifying potential buyers or sellers for long-only funds through the monitoring of direct investment activity.
- [Save time & effort](#) by utilizing accurate contact information for key decision-makers involved in traditional long-only funds.
- [Boost efficiency](#) by connecting with industry peers, sharing insights and exploring potential collaboration opportunities within the equity asset management community.
- [Streamline prospecting](#) by utilizing smart lists, dynamic mapping & custom alerts to build & manage relationships with investors, track communication history & ensure personalized engagement.
- [Boost deal success](#) by leveraging comprehensive private wealth data to identify potential partners for joint ventures, co-investments, or direct investment opportunities.

FINTRX Solves Critical Questions Such As...

- ✔ Which family offices invest in our strategy?
- ✔ Which RIA's allocate to external equity shops?
- ✔ Who has recently invested in funds like ours?
- ✔ Who in my network can introduce me to the right contacts?

Track 13-F Securities Data

Monitor and analyze ETF & equity portfolios, and uncover industry stock market trends.

Current Holdings (13-F) NEW

Current Holdings

Number of Holdings: 1,898

Holdings by Type

Search: Tesla

Security Name: Ticker Exclude

Searching as: OR

Tesla Inc (TSLA)

Equity/Debt

Select

Stock

CEP

Warrant

Last Filing Date: Q3 2023

Assets by Type

\$80.8B

- ETF
- Stock
- CEP
- Warrant

Top 10 ETF's Held by Provider

ETF	Value
State Street	80%
BlackRock	15%
Investment	5%
Financial	5%
Financial	5%
Financial	5%
Financial	5%
Financial	5%
Financial	5%
Financial	5%
Financial	5%

Current Holdings

Issuer	Put/Call	Class	Value (\$)	Shares / QoQ Change	% of Portfolio
SPDR S&P 500 ETF Trust (SPY)	Put	T Unit	\$35.28	79,460,000	43.56%
SPDR S&P 500 ETF Trust (SPY)	Call	T Unit	\$16.98	38,970,000	20.67%
Meta Platforms Inc (META)	Call	C/A	\$2.78	9,460,000	3.36%
Arista Networks Inc (ANET)	-	Com	\$1.88	11,936,816 + 22676.0%	2.23%
SPDR S&P 500 ETF Trust (SPY)	-	T Unit	\$954.26	3,245,313 + 204.3%	1.81%
Berkshire Hathaway Inc (BRK.B)	Call	C/B New	\$204.16	2,750,000	1.44%
Apple Inc (AAPL)	Call	Com	\$186.54	4,820,000	1.0%

Utilize the full suite of 13-F filters to drill into individual tickers, holding amounts, types of securities, changes over time and more.

Analyze AUM & Account Intel

Segment Family Offices & RIAs by AUM & pinpoint entities with the appropriate client types & account sizes.

Size By Assets

Assets Under Management: \$3.0B - \$5.0B+

Discretionary Assets Under Management: \$0 - \$5.0B+

Non-Discretionary Assets Under Management: \$0 - \$5.0B+

Average Client Size: \$221.0 - \$250.0

Size By Accounts

Number of Accounts: 0 - 1000+

Number of Discretionary: 0 - 1000+

Number of Non Discretionary Accounts: 0 - 1000+

Average Account Size: \$0 - \$250.0+

Potential Investors

Entity: 288, Total AUM: \$8,749

Entity	Parent	Type	Country	State	Total AUM
10000	10000 Investment Counsel, LLC	RIA	United States	MD	\$1.7B
10000	Albion Wealth Partners	RIA	United States	CA	\$1.4B
10000	Albion Wealth Partners, LLC	RIA	United States	FL	\$1.4B
10000	Albion Wealth Partners	RIA	United States	CA	\$88.0B
10000	Albion Wealth LP	RIA	United States	OR	\$4.0B
10000	Albion Wealth, L.P.	RIA	United States	CA	\$1.7B
10000	Albion Wealth Management	RIA	United States	VT	\$1.0B
10000	Albion Wealth Management, Inc.	RIA	United States	MA	\$1.0B
10000	Angelo Investment Advisors	RIA	United States	CA	\$5.7B
10000	Albion Wealth Management, LLC	RIA	United States	MD	\$1.0B
10000	Albion Wealth LP	RIA	United States	NY	\$1.4B
10000	Albion Wealth Management, LLC	RIA	United States	DC	\$1.0B
10000	Albion Wealth Management LP	RIA	United States	MA	\$1.2B

Client Assets

Client Type	Total	% of Total
Individuals	\$247,554,605	+1.08%
HNWI	\$22,490,482,364	+97.92%
Banking Or Thrift Institutions	-	-
Investment Companies	-	-
Business Development Companies	-	-
Pooled Investment Vehicles	\$126,826,937	+0.55%
Pension & Profit Sharing Plans	-	-

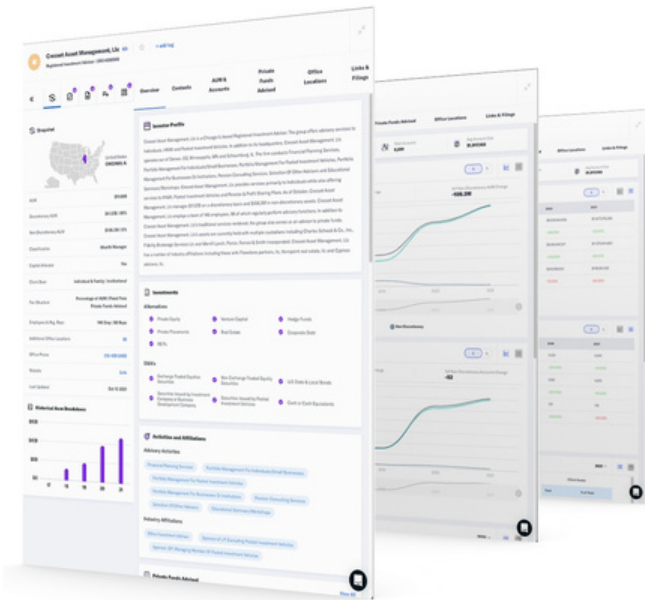
Save all of your prospects to a list and utilize tags, tasks, & custom properties to make tackling your research & outreach easier.

...as well as full RIA client breakdowns with account & AUM changes over time.

FINTRX Overview

[FINTRX](#) is a private wealth data intelligence platform that provides global financial firms a centralized source for in-depth & credible insights on family office, registered investment advisor (RIA) & broker dealer data. It's specifically designed for asset-raising professionals to source accurate data while increasing efficiency and saving valuable time.

FINTRX sources data from both public and private sources and is comprised of **75+** researchers who map, validate and compile data daily to ensure accuracy.



850K+

Registered Investment Advisor (RIA) & Broker Dealer Records

25K+

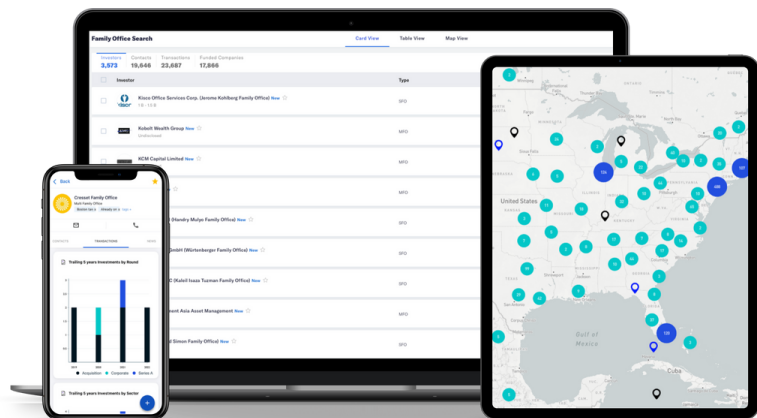
Family Office Records

\$115 Trillion+

Managed Assets Tracked

600K

Email Addresses of Key Investment Professionals



Join the hundreds of traditional asset managers who trust our unparalleled data and intuitive prospect management tools to source investors.

Email us:
sales@fintrx.com

Book a demo:
[Here](#)

Call us:
(617) 517 - 0789

Visit us:
fintrx.com